



174

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q75225

Kazumi NAITO

Appln. No.: 10/552,589

Group Art Unit: 1775

Confirmation No.: 2258

Examiner: Not Yet Assigned

Filed: October 12, 2005

For: SINTERED BODY ELECTRODE AND SOLID ELECTROLYTIC CAPACITOR USING THE ELECTRODE

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

**ATTN:** Office of Initial Patent Examination  
Filing Receipt Correction  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following corrections:

**Assignment for Published Patent Application** Showa Denko K.K.

**Independent Claims** + 3

Verification for the requested corrections is indicated on the Executed Assignment, Copy of Form 1595, Copy of Application Transmittal Letter and along with date-stamped filing receipt filed October 12, 2005.

Respectfully submitted,

Abraham J. Rosner  
Registration No. 33,276

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE  
23373  
CUSTOMER NUMBER

Date: July 7, 2006



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/552,589	10/12/2005	1775	950	Q75225	1	21	[1] 3

CONFIRMATION NO. 2258

23373  
 SUGHRUE MION, PLLC  
 2100 PENNSYLVANIA AVENUE, N.W.  
 SUITE 800  
 WASHINGTON, DC 20037

## FILING RECEIPT



\*OC000000019119391\*

Date Mailed: 06/09/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kazumi Naito, Chiba, JAPAN;  
*Assignment for Published Patent Application*  
*Shouwa Denko K.K.*

Power of Attorney: The patent practitioners associated with Customer Number 23373.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/05235 04/13/2004  
 which claims benefit of 60/464,107 04/21/2003

## Foreign Applications

JAPAN 2003-109146 04/14/2003

If Required, Foreign Filing License Granted: 06/05/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/552,589**

Projected Publication Date: 09/14/2006

Non-Publication Request: No

Early Publication Request: No

Title

# ASSIGNMENT

Whereas, I/we,

Kazumi NAITO

c/o Corporate R&D Center, SHOWA DENKO K.K., 1-1, Ohnodai  
1-chome, Midori-ku, Chiba-shi, CHIBA 267-0056 JAPAN

hereinafter called assignor(s), have invented certain improvements in

Sintered Body Electrode and Solid Electrolytic Capacitor Using the  
Electrode

and executed an application for Letters Patent of the United States of America therefor on  
; and

Whereas,

SHOWA DENKO K.K. of

13-9, Shiba Daimon 1-chome, Minato-ku, TOKYO 105-8518 JAPAN

(assignee), desires to acquire the entire right, title and interest in the application and invention,  
and to any United States patents to be obtained therefor;

Now therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named  
assignee, its successors and assigns, the entire right, title and interest in the application and the  
invention disclosed therein for the United States of America, including the right to claim priority under 35  
U.S.C. § 119, and I/we request the Director – U.S. Patent and Trademark Office to issue any Letters  
Patent granted upon the invention set forth in the application to the assignee, its successors and assigns;  
and I/we will execute without further consideration all papers deemed necessary by the assignee in  
connection with the United States application when called upon to do so by the assignee.

I/We hereby authorize and request my/our attorneys SUGHRUE MION, PLLC of 2100  
Pennsylvania Avenue, N.W., Washington, D.C. 20037-3213 to insert here in parentheses (Application  
number \_\_\_\_\_ and Confirmation number \_\_\_\_\_, filed \_\_\_\_\_) the  
filing date and application number of said application when known.

Date: 9.29.2005 s/ Kazumi Naito  
Kazumi NAITO

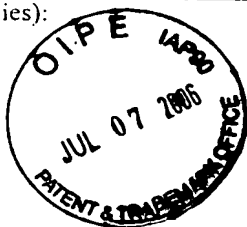
(Legalization not required for recording but is prima facie evidence of execution under 35 U.S.C. §261)

MODIFIED Form PTO-1595  
(Rev. 10/02)RECORDATION FORM COVER SHEET  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kazumi NAITO



2. Name and address of receiving party(ies):

SHOWA DENKO K.K.  
13-9, Shiba Daimon 1-chome, Minato-ku,  
TOKYO 105-8518 JAPANAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: September 29, 2005

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: September 29, 2005

A. Patent Application No.(s)  
Not Yet AssignedB. Patent No.(s)  
UnknownAdditional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE MION, PLLC

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed.  
☐ Authorized to be charged to Deposit Account No. 19-4880.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

8. Deposit Account Number:

19-4880

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Abraham J. Rosner

Reg. No. 33,276

October 12, 2005

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT RECORDATION SERVICES

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

**FILED**  
 OCT 12 2005





**Sughrue**

SUGHRUE MION, PLLC

National Stage of PCT/JP2004/005235

Page 2

Search Fee*	\$400.00
Examination Fee*	<u>\$200.00</u>
<b>TOTAL FILING FEE</b>	<u>\$950.00</u>
<b>Recordation of Assignment</b>	<u>\$ 40.00</u>
<b><u>TOTAL FEE</u></b>	<u>\$990.00</u>

\* The international search fee for all claims was not paid to the USPTO, as the ISA, but the ISR is being submitted herewith.

Checks for the statutory filing fee of \$950.00 and Assignment recordation fee of \$40.00 are attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this transmittal letter is attached.

Priority/Benefit is claimed from:

<u>Country</u>	<u>Application No</u>	<u>Filing Date</u>
Japan	2003-109146	April 14, 2003
U.S. Provisional	60/464,107	April 21, 2003

Respectfully submitted,

Abraham J. Rosner  
Registration No. 33,276

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

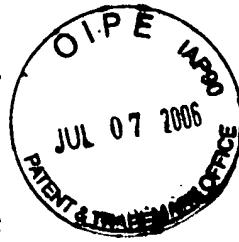
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: October 12, 2005

• REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER  
NATIONAL STAGE OF PCT/JP2004/005235 FILED APRIL 13, 2004



67

Inventor(s): Kazumi NAITO

Title: SINTERED BODY ELECTRODE AND SOLID ELECTROLYTIC  
CAPACITOR USING THE ELECTRODE

Atty Doc. #: Q75225 Client: OHIE PATENT OFFICE

Filing Date: October 12, 2005 # Pgs. Spec/Abst: 37/1 #Claims: 21  
International Search Report

# Dwg. Sheets: 1 Decl Yes (3 pages) Prelim Amdt Yes

PTO/SB/08 A & B

IDS/Prior Art: Yes Pr Doc: No Asgmt: Yes Fee: \$950/40

Form PCT/IB/304 Form PTO-1595

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: AJR/mt

SERIAL NO.:

CONF NO.:

